Custom Cerium Oxide Nanoparticles Protect against a Free Radical Mediated Autoimmune Degenerative Disease in the Brain

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ABSTRACT Cerium oxide nanoparticles are potent antioxidants, based on their ability to either donate or receive electrons as they alternate between the +3 and +4 valence states. The dual oxidation state of ceria has made it an ideal catalyst in industrial applications, and more recently, nanoceria’s efficacy in neutralizing biologically generated free radicals has been explored in biological applications. Here, we report the in vivo characteristics of custom-synthesized cerium oxide nanoparticles (CeNPs) in an animal model of immunological and free-radical mediated oxidative injury leading to neurodegenerative disease. The CeNPs are 2.9 nm in diameter, monodispersed and have a −23.5 mV zeta potential when stabilized with citrate/EDTA. This stabilizer coating resists being ‘washed’ off in physiological salt solutions, and the CeNPs remain monodispersed for long durations in high ionic strength saline. The plasma half-life of the CeNPs is ~4.0 h, far longer than previously described, stabilized ceria nanoparticles. When administered intravenously to mice, the CeNPs were well tolerated and taken up by the liver and spleen much less than previous nanoceria formulations. The CeNPs were also able to penetrate the brain, reduce reactive oxygen species levels, and alleviate clinical symptoms and motor deficits in mice with a murine model of multiple sclerosis. Thus, CeNPs may be useful in mitigating tissue damage arising from free radical accumulation in biological systems.

KEYWORDS: nanoparticles · free radicals · experimental autoimmune encephalitis · tissue distribution · blood brain barrier

Nanocrystalline cerium oxide is widely studied as a multifunctional material used in myriad industrial and manufacturing processes including catalysis, fuel cells, polishing agents, and combustion adjuvants. The ability of nanoceria to participate in redox coupled reactions has made these nanoparticles attractive candidates for development in biological and medical applications,¹–⁶ and recent studies have focused on the biological outcomes of administering cerium oxide nanoparticles to experimental animals using disease models in which free radicals are thought to play a prominent role.¹²,²²,²³ Cerium is a lanthanide element with a crystalline structure that can exist in two oxidation states (Ce³⁺ or Ce⁴⁺), which can be interchanged depending on the redox environment within biological systems.⁵,⁸,⁹,¹³ The catalytic properties of ceria have been attributed to the presence of highly mobile lattice oxygen present at the surface,¹⁰–¹³ which facilitates the Ce⁴⁺ → Ce³⁺ conversion and is correlated with decreased free radical levels.¹³ The redox reactivity of cerium oxide nanoparticles in vitro has been studied extensively.¹⁴–²¹ The half-cell potential of ceria nanoparticles falls between the redox potential of superoxide, hydroxyl ion and

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peroxynitrite (all have lower half-potentials) and hydrogen peroxide (half-cell potential $= -1.7\, V$). Thus, ceria is well positioned to oxidize biologically important reactive oxygen and nitrogen species with lower half-potentials, such as superoxide and peroxynitrite. Further, nanoceria catalyze the same electron transfer reactions as superoxide dismutase, catalase and glutathione.\textsuperscript{22} The regenerative oscillation between valence states suggests that nanoceria may have value as a therapeutic agent in the treatment of diseases related to the accumulation of reactive oxygen species (ROS).\textsuperscript{3,4} However, the majority of studies have used reduced preparations to study cellular effects \textit{in vitro}, which, despite providing important insight into the mechanisms of action of the particles, do not fully recapitulate the biological interactions \textit{in vivo}.$^5$--\textsuperscript{7,23}--\textsuperscript{27}

The studies of ceria function \textit{in vivo} used nanoceria ranging in size from 5 to 55 nm,$^1$\textsuperscript{2,7,28} often with negative zeta potentials ($< -50\, mV$), administered as dispersions or nominally stabilized with citrate or polyethylene glycol.\textsuperscript{2,29} In rodent models, these particles were prone to aggregate and accumulate in the spleen and liver,$^1,29$--\textsuperscript{31} This pattern of tissue distribution is characteristic of ‘bare’ nanoparticles and nanoparticles that have not been adequately stabilized. In either case, excess deposition in the liver may result in toxicity at higher doses.$^3$--\textsuperscript{32} Nanoceria in the previously described formulations did not readily cross the blood brain barrier (BBB),\textsuperscript{31,32,35} and these formulations have promoted oxidative stress in some settings.\textsuperscript{32} Discrepancies among the biological effects of various nanoceria formulations have been attributed to many factors including synthetic routes, composition/purity, particle size and surface charge.$^3,4,36$ Unfortunately, none of these characteristics, \textit{a priori}, have been particularly helpful in evaluating biological outcomes, which suggests that the interaction of the particles with proteins in different cellular compartments may provide more useful insight into biological differences among formulations.

Clearance of nanoceria from the body is another point of concern. The nanoparticles are taken up by the resident macrophages of the liver, the Kupffer cells.$^29$ In previous \textit{in vivo} studies, administration of unstabilized, bare or citrate-stabilized ceria nanoparticles was associated with high accumulation in the reticuloendothelial organs, tissue toxicity and poor tissue clearance rates,$^7,34$ all of which suggest that additional surface functionalization/stabilization may be required before ceria nanoparticles can be developed for therapeutic applications. To this end, our group has synthesized unique cerium oxide nanoparticles (CeNPs) with characteristics distinct from commercially available particles and those used by other groups.

Our custom CeNPs were synthesized by a novel process that generates smaller, highly uniform (2.9 nm) particles with a less negative zeta potential, and the particles were treated with a stabilizer comprised of citrate and EDTA, which resists ‘washing’ off in physiological solutions.\textsuperscript{37} EDTA seems to alter the surface potential of the particle and provides a durable coating by binding to the ceria. Citrate may act as an electron transfer agent between the particle and reactive oxygen species that reside in the oxygen vacancies on the surface of the nanoceria crystal. Here, we describe the biological effects of administering our unique CeNPs in a murine model of multiple sclerosis, experimental autoimmune encephalomyelitis (EAE). Oxidative stress generated by immune cells plays a significant role in this autoimmune and neurodegenerative disease, which results in the loss of motor function and impaired limb movement in affected animals. Intravenous delivery of CeNPs reduced clinical disease severity and improved motor function compared to control animals when the particles were delivered in both preventative and therapeutic treatment regimens. CeNP-treated animals also exhibited reduced ROS levels in the brain following drug administration, demonstrating that the nanoparticles retained antioxidant properties and may be promising candidates for further development in the treatment of oxidative injury.

\textbf{RESULTS}  
Characterization of Custom-Synthesized CeNPs. After synthesis, the solution containing the CeNPs was clear and yellow and displayed Tyndall scattering when illuminated with a low intensity laser, indicating that the solution contained well-dispersed colloidal particles. Figure 1 shows transmission electron microscopy (TEM) (a) and electron diffraction (ED) images (c) of the CeNP material. The diffraction pattern is consistent with a fluorite lattice structure of CeO\textsubscript{2}; the most intense line (111) corresponds to 0.312 nm lattice spacing as expected for the fluorite structure of CeO\textsubscript{2}. Results of X-ray diffraction performed on dried particles corroborated the fluorite structure. The nanoparticles consisted of single 2.4 nm crystallites of cerium dioxide (Figure 1D). In water, the average hydrodynamic diameter of the particles was 2.9 ± 0.3 nm (Figure 1B) with a polydispersity of 0.19 ± 0.03, based on dynamic light scattering (DLS) measurements (Figure 1D). The zeta potential of the final particle was −23.5 ± 1.3 mV. The material did not change size when stored at room temperature for up to two years after synthesis, and repeated, high-speed centrifugation (100 000g, 4 °C for 3 h) failed to pellet the material or significantly change its size as determined by DLS analysis.

\textbf{CeNP Size in High Ionic Strength Solutions.} To evaluate the likelihood of CeNP aggregation in high ionic strength solutions that mimic biological solutions, our custom synthesized CeNPs were placed in simulated body fluid (SBF; 1:1 dilution by volume; ~305 mOsm)
for 48 h, and the size of the particles was measured periodically using dynamic light scattering. Once the solution was diluted in the physiological saline, the size of the CeNPs was unchanged relative to the stock solution and remained monodispersed for up to 48 h (Figure 1E).

**Plasma Half-Life and Clearance of CeNPs in Healthy Animals.** Next we evaluated the plasma clearance of the CeNPs when delivered in vivo. The plasma half-life of the CeNPs (10 mg/kg intravenous dose) was ∼3.7 h with a mean residence time of 5.4 h in Sprague–Dawley rats (Figure 2A). To evaluate tissue clearance, healthy mice (n = 10) were injected with a single, intravenous dose of CeNPs (20 mg/kg), and ceria content was measured at different time points. Tissues were harvested 24 h later to determine the initial loading dose of ceria in various organs. CeNPs were detected by inductively coupled mass spectroscopy (ICP-MS), and the highest tissue levels were measured in the liver (Figure 2B) and spleen (Figure 2C), followed by the kidney (Figure 2E) and the brain (Figure 2D). Over time, the ceria levels in the liver and brain declined continually, and low levels of ceria were retained even 5 months after administration (Figure 2B,D). CeNP levels in the spleen and kidney initially rose after the loading levels were achieved, peaked at 1–2 months postadministration and then declined (Figure 2C,E). In contrast to the other organs, the CeNP content in the spleen decreased after 3 months only to approximately the level present at the loading stage. It is noteworthy that measurable brain levels of ceria were detected even in these healthy mice with intact BBBs.

**Application of CeNPs to a Murine Model of Oxidative Stress.** We sought to observe whether the CeNPs retained their antioxidant capabilities using an in vivo disease model. Given the efficacy of the CeNPs in neutralizing a variety of free radicals in vitro and their distribution to the brain in vivo, a central nervous
system (CNS) disease involving oxidative damage was selected for study. Multiple sclerosis (MS) is a chronic, immunological and neurodegenerative disease characterized by degradation of neuronal myelin sheaths and, at times, neuronal death, resulting in impaired nerve impulse conduction and, ultimately, cognitive and motor dysfunction in affected patients. The cellular mediators of disease include macrophages that invade the brain and produce reactive oxygen species. Superoxide anions, peroxynitrite and hydrogen peroxide participate in disruption of the BBB in MS and also oxidize DNA, proteins and lipids, damage that can induce genomic instability and the death of neurons. We studied a murine model of MS, experimental autoimmune encephalomyelitis (EAE), hypothesizing that administration of the CeNPs would reduce levels of free radicals and alleviate disease severity in this well-characterized animal model.

Chronic progressive EAE was induced in C57BL/6 mice using the MOG peptide. A variety of CeNP doses and dosing regimens were tested (Table 1), and these results were compared with the effectiveness of the immunomodulatory agent fingolimod, which is currently used to treat patients with relapsing MS and which we used to benchmark the effectiveness of CeNP treatments. Clinical scores, which take into account limb mobility, were assigned as a metric of disease severity. A typical example of EAE disease progression is depicted in Figure 3A, and the mean clinical scores of animals treated preventatively or therapeutically are shown in Figure 3B. delays disease onset and alleviates disease severity of EAE. (A) The temporal pattern of disease severity following induction of EAE with MOG peptide. Delay in disease onset (B) and disease severity (AUC expressed as a percent of the value in control animals) (C) are shown as a function of CeNP dose (10, 20, or 30 mg/kg) and administration regimen. For (B–D), black bars indicate preventative CeNP treatment regimens, while white bars indicate therapeutic treatment regimens of either CeNPs or fingolimod. Asterisk (*) indicates p < 0.05 compared to control animals.

<table>
<thead>
<tr>
<th>TABLE 1. Sample Size for Each CeNP Dose and Treatment Regimen*</th>
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<tr>
<td>CeNPs</td>
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<tr>
<td>Preventative: 15 mg/kg the day before and day of induction, on day 3, followed by maintenance dose at right</td>
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<tr>
<td>Pre</td>
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<tr>
<td>Therapeutic 3 day delay: first dose day 3 after induction then maintenance dosing</td>
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<tr>
<td>Therapeutic 7 day delay: maintenance dosing only</td>
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<td>Control: vehicle control injections</td>
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<td>Fingolimod: provided continuously beginning day 7 after induction</td>
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*CeNPs and control solutions were delivered in 100 μL volume intravenously. Maintenance doses were given every 7 days after induction through day 35.
therapeutically with 20 mg/kg CeNPs are compared with those of control animals. Both of the CeNP dosing regimens were associated with significantly reduced disease severity compared to control treatment starting approximately 19 days after EAE induction \( (p < 0.001) \). Average responses for all treatment groups are summarized in Figure 3B—D. EAE onset was significantly delayed by the preventative 30 mg/kg CeNP dose and by fingolimod treatment (Figure 3B). In all dosing paradigms except the 10 mg/kg therapeutic 3 day delay regimen, CeNP treatment significantly decreased total disease severity (measured as the area under the curve of clinical scores days 0—35; Figure 3C). Fingolimod also significantly reduced total disease severity, and the efficacy of preventative treatment with 30 mg/kg CeNPs was similar to the efficacy of fingolimod. Since this EAE model mimics a chronic (nonrelapsing) form of MS, we examined the durability of the treatment effects by assessing the average clinical scores in the last four days (days 32—35) of the experiment. Clinical scores during this late phase of disease were significantly reduced at most CeNP doses compared to control treatment \( (p \leq 0.045) \), including the therapeutic 7 day delay group that received 30 mg/kg CeNPs (Figure 3D). Further, the higher doses of CeNPs were as effective as, and not significantly different from, the late response to fingolimod treatment \( (p > 0.05) \).

**Effect of CeNP Treatment on Motor Function.** Though the manifestations of EAE generally develop in a caudal to rostral direction,\(^4\) the clinical scoring scale is dominated by caudal motor function. Since we were concerned that subtle improvements in motor activity in rostral motor groups might be missed by this metric, we performed specific tests of motor function to assess the effects of CeNP treatment more completely: rotarod (mainly caudal function), hanging wire (rostral function only), and balance beam (both caudal and rostral function). Predisease performance did not differ among treatment groups \( (p > 0.1) \), and with the exception of the 10 mg/kg dose, preventative CeNP administration yielded higher latencies to fall in the rotarod and hanging wire tasks and improved balance beam scores compared to the effects of the delayed, therapeutic dosing regimen (Figure 4). This finding could be either the result of differences in the timing of drug delivery or the cumulative dose administered (animals treated earlier also received a larger cumulative dose of CeNPs). Within a dosing regimen (i.e., preventative or therapeutic), a significant CeNP dose—response effect was observed for all motor tests;
higher doses of CeNPs preserved motor function more effectively in all tests (Figure 4).

**CeNP Dose Response Characteristics.** In general, cumulative disease severity (measured as AUC of clinical scores) was inversely related to the individual CeNP dose regardless of the timing of administration (Figure 5A). Expressing the reduction in disease severity as a function of the cumulative CeNP dose delivered demonstrated that, regardless of dosage timing, higher cumulative doses of CeNPs were significantly correlated with the reduction in AUC of disease severity ($r^2 = 0.98; p = 0.001$) (Figure 5B). Moreover, these effects may be attributable to brain penetration of the CeNPs, since tissue levels of CeNPs were well-correlated with the cumulative dose of CeNPs delivered ($r^2 = 0.83; p = 0.03$) (Figure 5C). No plateau in the relationships between cumulative CeNP dose and either disease severity reduction or brain CeNP levels was observed, suggesting that the maximum therapeutic brain concentration of CeNPs was not reached in these experiments.

**Biodistribution of CeNPs in EAE Animals.** ICP-MS analysis of brain tissue indicated that multiple doses of CeNPs accumulated in the CNS, particularly in the cerebellum (Figure 6A), a region with significant damage in EAE animals. Moreover, cerebellar ceria levels were approximately two times higher than in the spinal cord and the other brain regions (Figure 6A). Consistent with previous in vitro findings and our mass spectroscopy data, TEM analysis of the cerebellum of CeNP-treated animals revealed deposition of CeNPs throughout the intracellular compartments in myelinated processes, axons, dendrites and mitochondria (Figure 6B); sites of ROS production and targets of free radical damage. Though hepatic toxicity and particle aggregation have been observed after administration of other nanoparticle formulations in rodents, we detected relatively low levels of CeNPs in the liver compared to the CNS (Figure 6A), and we found no evidence of liver pathology in CeNP-treated animals with cumulative doses as high as 130 mg/kg of CeNPs in the preventative treatment regimen. Both control and treatment groups showed mild focal portal lymphocytic infiltrates, and intracytoplasmic fat deposition was minimal, suggesting that these responses in the liver may have been related to disease induction as opposed to specific drug treatment effects.

**Durability of CeNPs’ Mechanism of Action.** To test whether the CeNPs detected in the cerebellum retained their catalytic activity over time, ROS levels in the brains were assessed late in the EAE disease course (day 42). Fresh cerebellar brain slices were harvested from control, fingolimod or CeNP (preventative regimen 30 mg/kg dose) treated animals and stained with the free radical indicator dye, H$_2$CMDCFDA, to provide an estimate of the total ROS load. ROS levels in tissues from the fingolimod and control treated animals did not differ significantly (Figure 7A; $p = 0.619$), though
ROS levels in tissues from CeNP treated mice were reduced by $\sim 31\%$ ($p < 0.001$ compared to either control or fingolimod treated animals), indicating that the CeNPs retained their catalytic, antioxidant activity. The majority of the ROS signal was derived from cells located in the granular/Purkinje cell layers of the cerebellum (Figure 7C). The ROS signal was much lower in the surrounding molecular layer (suggesting that the ROS signal reflected the intrinsic ROS generation rate of different types of neurons rather than nonspecific slice trauma). Moreover, immunohistochemical staining of the microvasculature (Figure 7B) showed an entirely different pattern of staining compared to the ROS staining, illustrating that intravenously administered CeNPs were not captured solely by endothelial cells, and that ROS were not being neutralized only in the vascular compartment of the brain. CeNP deposition extended far beyond vessel endothelial cells and...
penetrated into the actual brain tissue (Figure 7B), consistent with the intraneuronal distribution of CeNPs in the TEM images (Figure 6B).

Lack of Effect of CeNPs on Immune Cell Distributions. Diminished ROS levels in the brains of CeNP-treated EAE animals implied that the ROS component of EAE pathology was therapeutically altered by CeNP treatment (Figure 7), which likely contributed to decreased disease severity (Figures 3 and 4). This efficacy is encouraging, given that the immune system might recognize nanomaterials as foreign antigens and mount an immune response against the CeNPs.45 It seems unlikely that the CeNP-dependent improvements in clinical disease severity scores were derived from changes in T helper cell or macrophage function in the CNS, but we tested the possibility that CeNPs may ameliorate EAE by modulating immune cell function. We harvested the brains of EAE mice treated with 10 mg/kg CeNPs in preventative or therapeutic 3 day delay dosage regimens on day 45 (10 days after the last CeNP treatment). CD4+ T helper cells and macrophages (CD11b+CD45+ cells) were quantified using fluorescence-activated cell sorting (FACS). Levels of each cell type were lower in CeNP-treated animals compared to control animals (Figure 8). However, there was great variability within treatment groups, and the differences among the control, preventative and therapeutic groups were not statistically significant (CD4+ T cells, $p = 0.07$; macrophages, $p = 0.195$). Thus, despite sustained biological activity and deposition of CeNPs in the brains of treated animals, immune cell infiltration into the brain was not different among the treatment and control groups, and we have no evidence of immunomodulatory effects of CeNPs in this model of EAE, though we tested only the lowest dose of ceria nanoparticles that we studied.

DISCUSSION

The CeNP formulation used in the current study differs from other cerium oxide nanoparticles in several important respects including size (2.9 nm), surface charge and the stabilizer bound to the surface of the particles (citrate/EDTA). These characteristics result in a particle that remains monodispersed in physiological solutions, resists agglomeration even when centrifuged, and has an extended plasma half-life approximately four times longer than previous ceria/citrate formulations of similar size.

Delivery of nanoceria to an intact biological system can yield changes to structure and function that are distinct from in vitro properties. When weakly stabilized or unmodified nanoceria enter the body, they interact with proteins, lipids and cells in a complex milieu with a high ionic strength. Interactions among the constituents of this milieu seem to modify the original nanoparticle surface, and a protein corona may form (i.e., the Vroman Effect).46,47 For many materials, the complement of proteins bound to the particle's surface results in significant deposition in the reticuloendothelial organs (i.e., liver and spleen) and little opportunity for deposition in other tissues, in particular, the brain. Commercially available, unstabilized or
citrate-stabilized cerium oxide nanoparticles were deposited in the highest concentrations in the spleen and liver in rodents, where they were retained at high levels for up to 90 days. In the present study, tissue levels of CeNPs in the liver and spleen were extremely low in healthy animals (Figure 2) compared to previous studies using 5 nm, citrate-stabilized nanoceria at similar time points. Moreover, the CeNP deposition in the liver of the animals with EAE (Figure 6A) was considerably lower than that in healthy mice, suggesting that disease state may influence nanoparticle distribution in the reticuloendothelial system. These deposition patterns are consistent with the notion that our CeNPs adsorbed fewer proteins that direct the particles to the reticuloendothelial system, and for this reason, our particles exhibited much longer circulation times (3.7 vs 1 h). The combination of citrate/EDTA, perhaps like PEG on other nanoparticles, seemed to reduce the association of proteins to the surface of the nanoceria.

The ceria content of the organs measured in the present study decreased below loading values, except in the spleen. This contrasts with previous reports that showed little decrease in tissue ceria content up to 3 months postinjection. For example, using nonstabilized, 3–5 nm particles, Hirsh et al. showed that a cumulative dose of 2.5 mg/kg delivered over a 5 week period resulted in the deposition of >20,000 μg/g of ceria in the liver and >30,000 μg/g in the spleen one week after the final injection. In rats, a single 85 mg/kg injection of citrate-stabilized ceria (5 nm) resulted in 500 μg/g of ceria in the liver up to 90 days following the injection. In a similar study using 30 nm citrate-stabilized ceria, Yokel et al. showed that a 70 mg/kg infusion resulted in ~1000 μg/g of nanoceria in the liver and spleen across the 3 month study. Thus, nonstabilized nanoceria were retained in the reticuloendothelial system to a much greater extent than citrate-stabilized particles, regardless of particle size, and citrate/EDTA-stabilization resulted in the least deposition compared to other stabilizer formulations.

Frequent and rapid agglomeration of other nanoparticles in physiological salt solutions dictates that the nanomaterial be delivered in water. For many typical surface treatments used to condition nanoparticles (citrate, lactate, etc.), high ionic strength solutions seem to strip away the surface treatment, allowing the particles to aggregate, which reduces their biological activity. In contrast, the citrate/EDTA stabilized CeNPs were resistant to stabilizer loss in high ionic strength solutions, remained dispersed in solution and retained their small size for relatively long periods of time (Figure 1E). Following administration of nanoparticle formulations used by other groups, both the large size of the aggregates and the exposure of the particle surface may accelerate association with proteins in the body that promote deposition in the reticuloendothelial system. For example, the binding of complement proteins to nonstabilized nanoparticles promotes uptake and clearance of nanomaterials by circulating and tissue resident macrophages. The presence or lack of stabilizer also correlates with plasma half-life: ~7 min for nonstabilized nanoceria and 1 h for citrate stabilized particles. In contrast, the half-life of our CeNPs was 3.7 h, considerably longer than that of ceria stabilized with citrate alone. Treatment with a combination of citrate and EDTA during synthesis of the CeNPs seemed to create a more durable stabilizer and allowed the CeNPs to evade active removal by cells of the immune system. In the future, establishing bioequivalence profiles among nanoparticle formulations would be prudent given the potential differences in calculated plasma half-life arising from the type of modeling used by different investigators (e.g., compartmental vs noncompartmental). We used a noncompartmental analysis since it appeared to fit the data well, has fewer assumptions than the compartmental models, and has been used to evaluate the pharmacokinetics of other nanoparticles.

The differences in nanoceria tissue clearance could reflect either the physical or chemical features of the particle. Nanoparticle size/surface area and charge play a role in cellular uptake of liposomes, polymer nanoparticles, artificial viruses (DNA coated glycocluster nanoparticles) and inorganic nanostructures. Once the material is inside the cell, cellular clearance may be influenced by the type of cell the material is sequestered in (i.e., resident tissue macrophages or other organotypic cells), the subcellular location of the nanoparticles, and natural degradation processes. We have not yet examined these possibilities for these custom CeNPs, but having a better understanding of the cycle of cellular uptake and clearance is a critical requirement for the therapeutic development of nanomaterials, especially since the patterns of tissue deposition and clearance appear to vary significantly among different species and different strains within species.

Previous studies examining nanoceria deposition in the brain following intravenous administration have been inconclusive: brain ceria content was very low. The brain deposition of the CeNPs in the current study was dose-dependent and significantly higher than that observed in other studies, even when normalized to the nanoceria dose. TEM images from brains of animals with EAE indicate that our CeNPs were widely distributed within cells of the cerebellum and were not simply taken up by endothelial cells (Figure 6B). Moreover, the cumulative CeNP dose correlated well with biological action (Figure 5B), which is consistent with regional pathological effects of EAE within the brain. Similar brain ceria content was measured in both the EAE and healthy mice treated...
with the same doses of CeNPs, which suggests that our CeNP formulation may cross the normal BBB (Figures 2D and 6A). Since the tight junctions of the BBB exceed the size of our particles (4–20 nm), it is possible that some of the CeNPs may have entered the brain via paracellular or transcellular transport processes. Alternatively, adsorption of plasma proteins such as ApoE or albumin may facilitate movement of CeNPs into tissues such as the brain, or the CeNPs may enter the brain by selective transport mechanisms. Resolving this question will require further study.

The amount of CeNPs deposited in the brain was similar to other metal oxide nanomaterials (Au, Pt, and Ti) that have either bypassed the BBB via intranasal delivery or have been engineered to use endogenous, selective BBB transport mechanisms to improve brain deposition.61–64 We hypothesize that the unique protein corona formed around these citrate-EDTA stabilized CeNPs is responsible for their organ deposition pattern, as such protein interactions are among the most important factors influencing biodistribution.65,66 We may be able to increase brain deposition of our CeNPs by functionalizing the surface with peptide moieties using approaches described by others.67

CeNPs are an extremely powerful superoxide dismutase mimetic; 1 μg/mL of CeNPs is equivalent to 520 U of SOD.68,69 Compared to other, non-nanomaterial antioxidants currently under development, CeNPs are unsurpassed in their ability to neutralize free radicals, while their ‘durability’ and regenerative catalytic capacity allow infrequent dosing compared to other drugs.70–74 This type of antioxidant activity was preserved in vivo, as shown by the reduced ROS levels in the cerebellum of CeNP-treated mice (Figure 7A). The decreased ROS levels appear to be physiologically relevant in that these animals with the MOG35–55 induced, chronic progressive model of EAE also exhibited decreased disease symptoms and preserved motor function (Figures 3 and 4). In fact, the amount of CeNPs delivered to the brain correlated with the decrease in cumulative disease severity, represented by the AUC analysis (Figure 5B).

The efficacy of CeNPs depended on the dose given and the timing of treatment initiation. For example, disease onset was significantly delayed only at higher CeNP doses when the CeNPs were given before or shortly after EAE induction, illustrating that higher individual and cumulative doses and an earlier start to treatment were needed to mitigate disease severity most effectively. The highest CeNP dose tested (30 mg/kg, preventative regimen) even demonstrated similar efficacy as fingolimod therapy, though fingolimod was capable of delaying disease onset even when delivered 7 days after EAE induction. This comparison is confounded by the frequency of dosing (intermittent for CeNPs and continuous in the drinking water for fingolimod) and by the fact that CeNP dosing regimens that started later (day 3 or 7) also resulted in lower cumulative doses of CeNPs. Therapeutic administration of greater CeNP doses starting at 7 days after induction of EAE might have matched the benefit of fingolimod started after EAE induction if higher cumulative doses of CeNP had been given (and preliminary data from our lab indicates that larger cumulative doses of CeNP given after EAE induction can match or exceed the efficacy of fingolimod in EAE).

CeNPs may have to be present at the time of inflammatory cell entry into the CNS at some threshold concentration in order to reduce the levels of ROS in this disease model. The graduated, serial dosing regimens used in these studies may not reach this putative threshold level of activity until later in the experiment. Consistent with such a hypothesis, clinical severity during the latter phase of disease (days 32–35) was significantly reduced at most CeNP doses compared to control treatment (p ≤ 0.045). Moreover, even starting the 30 mg/kg dose 7 days after EAE induction reduced disease severity (Figure 3), and in this late phase, symptom severity was not different between preventative treatment, fingolimod treatment and the 7 day delay 30 mg/kg treatment groups (p > 0.05) (Figure 3D). Last, it is possible that ROS play an important role in the formation of the MOG35–55 model of EAE. Therefore, the beneficial effects of CeNPs may arise by preventing full expression of the disease model. To the extent this is true, the response to CeNPs may be disease model specific. The late beneficial effects of therapeutic CeNP regimens argue against this line of reasoning since the therapeutic dose schedules allowed full development of the EAE model, but still reduced disease severity late in the illness. Moreover, we conducted studies of a relapsing model of EAE induced by immunization with a different proteolipid protein (PLP139–151), and treatment with CeNPs effectively mitigated symptoms in this model as well.75 Regardless of the timing of CeNP administration relative to disease onset, the cumulative damage was significantly reduced as the CeNPs accumulated and the capacity for ROS neutralization increased.

Specific tests of motor function were also better preserved in the CeNP and fingolimod treated animals, demonstrating a similar dose-dependent effect as that observed with clinical disease scores. In general, we expected CeNPs to improve rostral motor function more than caudal motor function, where the extent of neuropathology is greater. There was a trend in this direction: higher CeNP doses and earlier initiation of treatment tended to preserve function on the hanging wire test, while lower CeNP doses and delayed treatment were associated with greater loss of rostral motor function. However, these trends were not as prominent as we had expected, implying that the neuropathology of EAE in our studies seemed to reflect more equal loss of both caudal and rostral motor function. By the same
While naturally occurring and synthetic antioxidant therapies have shown some efficacy in similar models of EAE, decreasing clinical scores 30–70%, the efficacy of such antioxidant therapies is contingent upon several factors. First, the reagent must be able to cross the BBB and localize not only to affected tissues, but also individual cells with a high ROS load. Second, the compound must accumulate in the brain at a high enough concentration to be clinically effective in the treatment of disease. Last, the therapeutic agent must have a half-life in tissue sufficient to inhibit ROS levels long enough to have an appreciable effect on disease progression/symptoms and must retain sufficient catalytic activity to neutralize excessive amounts of ROS produced as part of the disease process. Given that the majority of patients with MS eventually convert to the chronic, progressive form of MS, the likelihood and necessity of long-term treatment is high, and a less frequent dosing regimen is desirable. In this study, the effectiveness of the relatively infrequent dosing regimen was made possible by the long half-life of cerium oxide, the cumulative effect of repeated doses and the durability of the regenerative, catalytic, antioxidant activity of CeNPs.

CONCLUSION
This is the first report to demonstrate efficacy of cerium oxide nanoparticles in the treatment of a well-characterized, autoimmune, neurodegenerative disease model in intact animals. Most of the therapeutic potential of commercial cerium oxide nanoparticles has been assessed using in vitro or cell culture models or in vivo in models with no clear clinical correlate. Nevertheless, CeNPs have reduced retinal damage, reduced the size of infarcts in a middle cerebral artery model of ischemia in rodents, and improved cardiac function in a murine model of cardiomyopathy. In all of these studies, the beneficial effects of cerium oxide nanoparticles have been attributed to the antioxidant activity of the particles. In the current study, CeNPs mitigated the severity of EAE in mice, as indicated by reduced disease severity scores and preserved motor function. Thus, CeNPs are novel synthetic antioxidant catalysts with measurable CNS penetrance, prolonged retention in the CNS, and high, regenerative catalytic activity that hold great promise for use in MS patients and, potentially, other ROS-mediated disorders of the CNS.

MATERIALS/METHODS

Synthesis and Morphology of CeNPs. Aqueous 20–30% NH4OH was added under high speed mixing to a heated water bath reactor (70 °C) containing ethylenediaminetetraacetic acid (EDTA) and citric acid for stabilization. Aqueous solutions of Ce(NO3)3·6H2O were added under high speed mixing. Next, a 50% H2O2 solution was added to the vessel and mixed with a high speed shearing mixer at 5500 rpm. The reactor temperature was raised to 80 °C and held at this temperature for 60 min. The reaction was cooled to room temperature overnight and dialyzed to remove excess free ions with a Millipore, cellulose
regenerated column to a pH of 7.2 and conductivity less than 10 mS. Particle size and composition were confirmed via electron diffraction (ED), X-ray diffraction (XRD), dynamic light scattering (DLS) and transmission electron microscope (TEM). The conditions of EDTA and citrate prevented aggregation more effectively than either agent alone, and particles stabilized only with EDTA did not exhibit significant neuroprotective effects when tested in our in vitro brain slice assay.4

X-ray Diffraction (XRD) Analysis. The stock CeNP solutions were concentrated, placed onto a zero background quartz disk, allowed to dry under a heat lamp, then dried in an oven for four hours at 80 °C in vacuum. The solid sample on quartz was analyzed by XRD in a N2 dry cell attachment. The sample was analyzed for crystallite size in the CeO2 (220) direction, determined using the Scherrer technique.

Dynamic Light Scattering (DLS). The CeNP stock solution (73 mM) was filtered (0.2 μm), and the particle size was determined using a Brookhaven DLS Instrument.

Electron Diffraction. Selected-area diffraction patterns were imaged with a 100 μm diameter aperture and a camera length of 55 cm. The camera length was calibrated using an Al polycrystalline standard. The radii of diffraction rings were determined using the radial profile plug-in in Image J software.

CeNP Aggregation Assay. CeNPs were placed in simulated body fluid (SBF): 140 mM NaCl, 5 mM KCl, 4 mM NaHCO3, 2.5 mM CaCl2, 1.5 mM MgSO4, 10 mM Na-Hepes (pH 7.2). DLS analysis was used to assess particle size at various time points.

CeNP Half-Life. Three Sprague–Dawley rats (~200 g body weight) received a single, 10 mg/kg intravenous injection of CeNPs, and blood was drawn periodically from the lateral tail vein. Ceria content from the blood collected at each time point was measured using ICP-MS. Plasma half-life was calculated with a noncompartmental analysis model based on WinNonlin, a pharmacokinetic analysis program.

Clearance of CeNPs from Healthy Mice. Female SJL/J mice were purchased from Jackson Laboratories and used at 8–10 weeks of age. CeNPs were delivered intravenously at 20 mg/kg on day 0. Animals were euthanized and transcardially perfused with phosphate buffered saline (PBS) before organs were harvested at the following time points: 24 h, 1 month, 2 months, 3 months, 4 months, and 5 months. Cerium content was measured by ICP-MS.

Induction of EAE in Mice. All protocols involving animals were approved by the St. Lawrence University Institutional Animal Care and Use Committee (IACUC). Female C57BL/6 mice were purchased from Jackson Laboratories and maintained in our animal facility. Chronic progressive EAE was induced in 8–10 week old mice on day 0 by subcutaneous injection of a homogenized mixture of 200 mg H37Ra (BD Diagnostic) Mycobacterium tuberculosis in 10 μL of PBS was also delivered on days 0 and 2. Animals were euthanized on day 7. CeNPs and Fingolimod Administration. CeNPs were administered to the mice in a sterile, sodium citrate buffer (70 mM NaCl, 70 mM Na-citrate, 10 mM Na-Hepes, pH 7.4; 100 μL injection volume), and this solution alone was used for control injections. CeNPs were administered intravenously in one of three regimens: preventative, therapeutic-1 day delay, or therapeutic-7 day delay. The preventative group received CeNPs starting one day before disease induction, on the day of induction, on day 3 and then at set intervals after induction (see Table 1), whereas the therapeutic groups received the drug starting either 3 or 7 days after induction of EAE. Fingolimod (Cayman Chemical, Ann Arbor, MI) was prepared fresh daily and given in the drinking water (2 μg/L) beginning on day 7.

Clinical Scoring. Disease severity was rated twice daily, independently by two or more observers. Clinical scores were assigned as follows: 0, normal tail tone and limb movement; 1, tail drags when walking, but can still curl around observer’s fingers; 2, impaired or clumsy gait; 2.5, partial paralysis of one or both hind limbs; 3, complete paralysis of one or both hind limbs; 3.5, complete paralysis of both hind limbs; 4, partial or complete paralysis of one or both front limbs; 5, moribund. Any mouse with a clinical score ≥ 4 or a clinical score of 3.5 and an inability to groom itself for greater than 5 days was euthanized. Two or three investigator-gaters were involved in the clinical scoring, and the diversity of clinical scores among the investigators was less than 15%. As a measure of cumulative disease severity, the area under the curve (AUC) of daily clinical scores was calculated for each animal. Clinical scores wax and wane in EAE, and the area under the curve is less sensitive to day-to-day variations in clinical scores and provides a sensitive index of disease severity over the entire time course of the disease.29 The AUC of the clinical score for each animal and the means between the treatment groups were compared. The onset of illness was defined as the postimmunization day preceding two successive days on which the clinical scores increased by ≥20%. Estimates for disease severity during the chronic phase of the disease were calculated by averaging the clinical scores of the last four days of the experiment (days 32–35).

Tests of Motor Function. For the rotarod test, each mouse was placed onto a drum (Med Associates, St. Albans, VT) rotating at 28 rpm, and the latency to fall from the drum (300 s, maximum) was measured. In the hanging wire task, each mouse was placed in an open-top Plexiglas box with a steel wire, grid floor. The box was turned upside down 60 cm above the counter top and the latency to fall was measured. The balance beam apparatus consisted of a 28 mm square beam (100 cm in length and elevated 50 cm above the counter top) that led to a 20 cm × 20 cm dark enclosure. A 60 W light bulb illuminated the open end of the beam and motivated the mice to traverse the beam and reach the dark goal box. Each mouse was placed on the illuminated end of the beam and given up to 60 s to reach the dark, goal box. Balance and gait quality were scored using a 5 point scale (S = normal gait to 0 = falls off beam immediately).

Biodistribution of CeNPs in EAE Mice. EAE mice were euthanized by isoflurane overdose and transcardially perfused with PBS, and organs were removed to analyze tissue-specific ceria content. The dissection tools were cleaned between each animal to decrease cross contamination with ceria. Tissues were frozen and analyzed by ICP-MS at the Trace Metal and Analytics facility at Dartmouth College. Organ samples (50–100 mg) were immersed in HNO3 and heated at 105 °C for 45 min and allowed to cool. After cooling was achieved, deionized water was added to each sample tube to achieve a final acid content of 5%.

TEM Analysis. Tissue samples for TEM analysis were fixed in glutaraldehyde and osmium tetroxide (2%) and then treated with successively higher ratios of ethanol/water and finally immersed in 100% acetone. These dehydrated samples were immersed in 3/1 acetone/Embed 812 for 12 h, 1/1 acetone/Embed 812 for 4 h, 3/1 acetone/Embed 812 for 4 h, and finally 100% Embed 812 for 12 h. Last, each sample was cured at 60 °C for 12 h. Thin sections (70 nm) of the epoxy-embedded tissue were prepared with an ultramicrotome and transferred to lacey-carbon covered, copper TEM grids. The sections were imaged at 100 kV using a JEOL 100CX II transmission electron microscope.

Preparation of Brain Slices for ROS Detection. Beginning one week after behavioral testing and drug therapy had ended (post-induction day 42), mice exhibiting the most severe clinical symptoms in each group were sacrificed by rapid decapitation. Brains were quickly removed and placed in a chilled, choline-based slicing solution before 400 μm thick transverse cerebellar slices were cut along a rostral to caudal axis (~5.68 to ~6.84 mm Bregma) using a vibratome.27 The samples were allowed to recover for 1 h in control, artificial cerebral spinal fluid (aCSF) and bubbled with 5% CO2, 95% O2 gas (pH 7.4, 300 mosm). For each ROS measurement, two sets of anatomically matched brain slices were taken from EAE animals, one from a control animal and the other from either a CeNP or a fingolimod treated animal. The CeNP and fingolimod treated animals were also matched on their peak clinical scores.

Measurement of Reactive Oxygen/Nitrogen Species in Brain Slices. Brain slices were loaded with 20 μM 5-chloromethyl-2’7’-dichlorodihydro-fluorescein diacetate (CM-H2DCFDA; Invitrogen...
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